

1798  
30/40/03  
U.S. PTO

Practitioner's Docket No. ULTEC.65052

PATENT

Preliminary Classification:

Proposed Class:

Subclass:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

NEW APPLICATION TRANSMITTAL

03917 U.S. PTO  
10/633829  
08/04/03

Transmitted herewith for filing is the patent application of

Inventor(s): Joseph I. Rubin

For (title): SAMPLE PREPARATION APPARATUS AND METHOD

1. Type of Application

This application is for a divisional.

2. Benefit of Prior U.S. Application (35 U.S.C. § 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. application. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION IS CLAIMED.

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EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

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I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on August 4, 2003, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV 320199442 US.

GUNTHER O. HANKE

Type or print name of person mailing paper

Date: 8/4/03

  
Signature of person certifying

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**3. Papers Enclosed**

- A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

13 Page(s) of Specification  
4 Page(s) of Claims  
3 Sheet(s) of Drawing(s)--Informal

- B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**4. Additional Papers Enclosed**

Preliminary Amendment

Information Disclosure Statement (37 C.F.R. § 1.98)

**5. Declaration or Oath**

Enclosed

Executed by: Joseph I. Rubin, inventor.

**6. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**7. Language**

English

**8. Assignment**

An assignment of the invention to Ultra Tec Manufacturing, Inc. is attached.

**9. Fee Calculation (37 C.F.R. § 1.16)**

Regular Application, Small Entity

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CLAIMS AS FILED

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Number Filed	Number Extra	Rate	Basic Fee 37 C.F.R. § 1.16(a) \$375.00
Total Claims (37 C.F.R. § 5 - 20 = 0 x \$ 9.00 = \$ 0.00			

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**1.16(c))**

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Independent Claims (37 C.F.R § 1.16(b))	1	-	3	=	0	x \$	42.00	= \$	0.00
Multiple Dependent Claim(s), if any (37 C.F.R § 1.16(d))						\$	140.00	\$	0.00

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Amendment cancelling extra claims is enclosed.

Small Entity Filing Fee Calculation	\$375.00
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**10. Fee Payment Being Made at This Time**

Enclosed

Filing Fee	\$375.00
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<b>Total Fees Enclosed</b>	<b>\$375.00</b>
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**11. Method of Payment of Fees**

Attached is a check in the amount of \$375.00.

Charge any additional fees required by this filing or credit any overpayment to our Deposit Account No. 21-0800.

**12. Instructions as to Overpayment**

Credit Account No. 21-0800.

**ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.  
APPLICATION IS CLAIMED  
(37 C.F.R. § 1.78)**

**13. Relate Back**

Amend the specification by inserting, before the first line following the title, the following sentence:

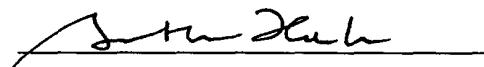
**A. 35 U.S.C. Sections 120, 121 and 365(c)**

"This application is a divisional of copending Application Number 09/907,354 filed on July 17, 2001."

**14. Further Inventorship Statement Where Benefit of Prior Application(s) is/are Claimed**

- (a) This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Date: 8/4/03



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